# 301 Rec'd PCT/PTO 16 DEC 1999

Express Mail No.: <u>EL073777793US</u> Date: <u>December 16, 1999</u>						
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.						
ANTONELLA FUSILLO						
(Name of person mailing paper or fee)  TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371  LANGHANS						
						INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIME
	PCT/EP99/02724 April 22, 1999 April 22, 1998 & June 10, 1998					
1	OR ARRANGEMENT FOR SOLID ST	ATE LASERS				
LUTZ LAN	FOR DO/EO/US GHANS & THOMAS RENNER					
Applicant h	erewith submits to the United State	s Designated/Elected Offic	e (DO/EO/US) the			
	ems and other information:	•	, , , , , , , , , , , , , , , , , , , ,			
1. [X]	This is a FIRST submission of items concerning	a filing under 35 U.S.C. 371.				
2.[]	This is a SECOND or SUBSEQUENT submission	n of items concerning a filing under 35	U.S.C. 371.			
3. [ <b>X</b> ]	3. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).					
examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).  4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claim priority date.  5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)).  a. [1] is transmitted herewith (required only if not transmitted by the International Bureau).						
b. IXI has been transmitted by the International Bureau.						
6. [X] 7. []	a. [] are transmitted herewith (required only if not transmitted by the International Bureau). b. [] have been transmitted by the International Bureau. c. [] have not been made; however, the time limit for making such amendments has NOT expired.					
7. []						
8. []	A translation of the amendments to the claims ur	nder PCT Article 19 (35 U.S.C. 371(c)	(3)).			
9. <b>[X</b> ]	Original or facsimile of an oath or declaration of	he inventor(s) (35 U.S.C. 371(c)(4)).				
10. []	10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11. to 16. concern other document(s) or information included:						
11. [X]	An Information Disclosure Statement under 37 C	FR 1.97 and 1.98.				
12. [ <b>X</b> ]	An assignment document for recording. A separa	ate cover sheet in compliance with 37	CFR 3.28 and 3.31 is included.			
13. <b>[X</b> ]	to Appendix Augustin and the second s					
14. []	A substitute specification.					
15. []	15. [] A change of power of attorney and/or address letter.					
16. <b>[X</b> ]	Other items or information: International Search	Report and Form PTO-1449/ Form PC	CT/IB/308			

## 514 Rec'd PCT/PTO 1 6 DEC 1999.

U.S.AFFLICATION NO: (if known, see 37 CFR 1.5)	INTERNATIONAL APPLIC	ATION NO.	ATTORNEY'S DOCKET NO.	
09/435990	PCT/EP	99/02724	LANGHANS	
17. [X] The following fees are submitted : BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):				
[X] For filing with EPO or JPO search report	\$840.00			
[] International preliminary examination fee	paid to USPTO (37 C.F.R.	1.492(a)(1)) <b>\$ 670.</b> 0	00	
[ ] No international preliminary examination but international search fee paid to USPT		F.R. 1.492(a)(2)) <b>760.</b> 0	00	
[ ] Neither international preliminary examina nor international search fee paid to USPT		7 C.F.R. 1.492(a)(3)) <b>\$ 970.</b> 0	00	
[] International preliminary examination fee and all claims satisfied provisions of PC		. 1.492(a)(4)) \$ 96.0	00	
Surcharge of \$130.00 for furnishing the oath or decearliest claimed priority date (37 CFR 1.492(e)).	claration later than [ ] 20	[ ] 30 months from	the	
Claims	Number Field	Rate		
Total Claims	11-20	x \$ 18.00		
Independent Claims	2-3	x \$ 78.00		
Multiple dependent claims (if applicable)		x \$260.00		
	TOTAL C	F ABOVE CALCULATI	ONS \$840.00	
Reduction by 1/2 for filing by small entity, if applica statement must also be filed. (Note 37 CFR 1.9,1.2				
		SUBTO	Ψ0-10100	
Processing fee of \$130.00 for furnishing the Englis the earliest claimed priority date 37 CFR 1.492(f).	sh translation later than [	- • •		
		TOTAL NATIONAL	40.0.00	
Fee for recording the enclosed assignment (37 CF an appropriate cover sheet (37 CFR 3.28, 3.31).		·	\$ 40.00	
		TOTAL FEES ENCLO	SED \$880.00	
		Amount to be refu	nded	
		cha	arged	
a. [X] A check in the amount of \$880.00 to cover	the above fees is enclosed	d.		
b. [] Please charge my Deposit Account No. <u>06-0502</u> in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0502</u> . A duplicate copy of this sheet is enclosed.				
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.				
Send all correspondence to:				
HENRY M. FEIEREISEN 350 Fifth Avenue				
Suite 3220				
New York, N.Y. 10118 HENRY M. FEIEREISEN				
(212) 244-5500		/R	egistration No. 31,084	
Date: December 16, 1999		·		

514 Rec'd PCT/PTO 1 6 DEC 1999

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No.: LANGHANS

In re Application of:	)
LUTZ LANGHANS & THOMAS RENNER	}
Int. Appl. No.: PCT/EP99/02724	
Int. Filing Date: April 22, 1999	)
For: RESONATOR ARRANGEMENT FOR SOLID STATE LASERS	) )

## PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Express Mail mailing label number. EL073777793US

Date of Deposit December 16, 1999

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ANTONELLA FUSILLO

(Name of person mailing paper or fee)

(Signature)

SIR:

Preliminary to the first Official Action in the above-entitled application, please amend the application as follows:

Docket No.: LANGHANS Int. Appl. No.: PCT/EP99/02724

### **IN THE SPECIFICATION:**

Page 4, line 13, add the heading -- BRIEF DESCRIPTION OF THE DRAWING--.

Page 5, line 18, add the heading --DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS--.

Page 15, first line, delete completely, and after the heading "CLAIMS" and before the first claim add --What is claimed is:--.

#### **IN THE CLAIMS:**

Amend the following claims:

3. (Amended) The resonator according to the preamble of claim 1, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is also convex, and that the output mirror is arranged in close proximity to the end of the laser rod[, preferably at a distance of less than approximately 10 mm].

5. (Amended) The resonator according to the preamble of claim 4, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is planar, that the other end of the laser rod is convex, and that the output mirror is arranged in close proximity to the end of the laser rod[, preferably at a distance of less than approximately 10 mm].

Docket No.: LANGHANS

Int. Appl. No.: PCT/EP99/02724

(Amended) Resonator according to [one of the claims 1 to 5] claim 1, 6.

characterized in that the laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass

rod.

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Add the following claims:

(New) Resonator according to claim 3, characterized in that the laser rod is 7.

a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

8. (New) Resonator according to claim 4, characterized in that the laser rod is

a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

(New) Resonator according to claim 5, characterized in that the laser rod is 9.

a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

10. (New) The resonator according to the preamble of claim 3, characterized in

that the output mirror is arranged at a distance of less than approximately

10 mm to the end of the laser rod.

11. (New) The resonator according to the preamble of claim 5, characterized in

that the output mirror is arranged at a distance of less than approximately

10 mm to the end of the laser rod.

II.

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Int. Appl. No.: PCT/EP99/02724

**REMARKS** 

This Amendment is submitted preliminary to the issuance of an Office

Action in the present application.

Applicant has amended claims 3, 5, 6 to delete any reference to

"preferably" and to eliminate the multiple dependency of claim 6. Claims 7 to 11

have been added to set forth features deleted from the amended claims. In

addition, applicant has amended the specification to present it in proper form and

language. Especially, applicant has provided the specification with proper

headings.

When the Examiner takes this application up for action, he is requested to

take the foregoing into account.

The Commissioner is hereby authorized to charge fees which may be

required, or credit any overpayment to Deposit Account No. 06-0502.

Respectfully submitted,

By:

Henry M. Feiereisen Agent for Applicant

Red. No. 31,084

Date: December 16, 1999

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6/PRTS

09/445990 314 RecdPCT/PTQ 1 6 DEC 1999

## RESONATOR ARRANGEMENT FOR SOLID STATE LASERS

#### TECHNICAL FIELD AND STATE-OF-THE-ART

During the past years, Nd:YAG welding lasers have found increasing applications in the areas of jewelry and dentistry. These so-called handheld welding lasers are suitable to perform precise point and seam welding in the submillimeter region. They have not only the advantage of providing solder-free joints, but also protect the workpiece as compared to the conventional flame welding technique. A typical construction of devices presently on the market is illustrated in FIG. 1. The conventional "classic" resonators are mostly constructed in the manner illustrated in FIG. 2a and have a planar output mirror 2 and a concave reflecting mirror 3. The Nd:YAG laser rod 1 is located approximately in the center between the mirrors 2 and 3.

The exiting laser beam 4 is focused onto the work plane 8 by a beam expander 5 with an adjustable divergence, a turning mirror 6 (for example, 1064 nm - HR, visible range - AR) and a focusing lens 7.

All devices encounter problems associated with "thermal lensing" of the Nd:YAG rod and the "initial pulse characteristics" associated therewith. Pumping with a flash lamp and water cooling produces a radial temperature profile in the Nd:YAG rod, which is transformed by the characteristic material constant dn/dT

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into a refractive index profile and thereby into a lensing effect. Depending on the injected pump energy and the cooling provided by the cooling water, respectively, this lensing effect depends on the pump power. FIG. 3 illustrates the initial pulse characteristics for a state-of-the-art "classic resonator", wherein the spot size at the focal point is simulated without beam expansion as a function of the pump power, i.e. with varying thermal lensing effect and for different radii of the reflecting mirror. The increase of the focal diameter with increasing pump power is clearly seen. Smaller radii of curvature of the reflecting mirror lead to a smaller relative change, but to overall larger values of the focal diameter. For single pulses or for initial pulses (low pump power), the lensing effect is still small. For continuous pulses (high pump power) and a predetermined frequency the lens increases to a value which depends on the average pump power in continuous operation. This lensing effect affects the beam quality and thereby also the spot size in the work plane as well as (to a lesser degree) the pulse energy. The user who is mainly interested in the energy density, i.e. the pulse energy divided by the spot size, will recognize this phenomenon as a strongly variable welding outcome which depends on the welding history.

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One possibility to avoid this problem is to transmit the laser beam through a sufficiently long glass fiber. Since the glass fiber does not preserve the diameter of the beam, the beam which is coupled out typically has a constant diameter and an approximately constant divergence. However, this approach degrades the beam quality, so that the focusing unit has to be adapted

accordingly. Moreover, the so-called "benign behavior" of the welding process suffers, since the depth of focus in the work plane is reduced. Another possibility to avoid this problem is to use a stronger beam expansion before the beam splitter and to also work outside the focusing range, where the image of the rod surface remains approximately constant. This approach also reduces the initial pulse characteristics. However, the "benign behavior" is again adversely affected (depth of focus of the laser focusing system in the work plane).

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The publication by MAGNI, V. et al.: "Recent Developments In Laser Resonator Design" in Optical and Quantum Electronics 23, 1991, pp. 1105-1134, in particular page 1106, second paragraph, describes additional measures to counteract or even compensate the effect of thermal lensing. These conventional measures, however, are only effective at a specified value of the pump power.

## SUMMARY OF THE INVENTION

It is an object of the invention to provide a stable resonator which is stable over an extended range of the pump power against the effects caused by thermal lensing, rather than only at a specific value of the pump power. The resonator according to the invention should also reduce the initial pulse characteristics below the detection limit of the user, while at the same time maintaining the "benign behavior", i.e. the depth of focus, of the laser.

The solution of this object is provided by resonators as described in the
commensurate claims 1, 3, 4 and 5. The applicant has realized that, unlike in
state-of-the-art devices, the beam quality as a function of the pump power has a
comparably flat maximum for relatively short resonator lengths due to the
extremely asymmetric construction of the resonators according to the invention.
Accordingly, the applicant achieves a comparably constant beam quality over a
larger pump power range. As a result, the thermal lensing effect has no effect or
only an insignificant effect on the welding result; the characteristics features of
the initial pulse are negligibly small. While the laser rod in the embodiments
recited in the commensurate claims 1 and 4 is completely displaced towards the
output side, in the other advantageous embodiments recited in claims 3 and 5
the laser rod may be located at a very short distance from the output mirror.

The invention will be described hereinafter with reference to the Figures and diagrams showing calculated and measured values. It is shown in:

FIG. 1 a Nd:YAG welding laser according to the state-of-the-art;

19 FIG. 2a a classic resonator according to the state-of-the-art;

21 FIG. 2b a first embodiment of a resonator according to the invention 22 (so-called "sweet-spot"-resonator I);

1	FIG. 2c a second embodiment of a resonator according to the		
2	invention (so-called "sweet-spot"-resonator II);		
3			
4	FIG. 3 a simulation of the initial pulse characteristics for a state-of-		
5	the-art classic resonator;		
6			
7	FIG. 4 the focus diameter of a state-of-the-art resonator (upper		
8	curve) and of a "sweet-spot"-resonator of the invention (lower curve) as a		
9	function of the pump power (simulation);		
10			
11	FIG. 5 the focus diameter of a state-of-the-art resonator (upper		
12	curve) and of a "sweet-spot"-resonator of the invention for different laser rods		
13	(lower four curves) as a function of the pump power (measured values);		
14			
15	FIG. 6 the beam quality M as a function of the pump power in multi-		
16	mode operation for a resonator arrangement with L= 650 mm, R = infinite,		
17	f= infinite (curve 1), and f= 1800 mm (curve 2)		
18			
19	According to FIG. 2b, the resonator of the invention has an extremely		
20	asymmetric configuration. The laser rod 1 is planar on the output side and		
21	completely displaced towards the output side, wherein the planar rod end is		
22	provided with a semi-reflecting layer 10 to couple out the laser beam 4.		

1	The other rod end 11 has a convex curvature and operates as a focusing
2	lens in the resonator. The rear reflecting mirror 12 also has a convex curvature.
3	This special arrangement of the components in conjunction with a suitable
4	arrangement of the resonator lengths and the respective radii of curvature
5	provides a resonator which produces a small focus with a short overall length,
6	wherein the focus is almost independent of the frequency and the pump power,
7	respectively. The components may be arranged as follows:

- 9 Length of the resonator: 290 mm
- 10 Radius of curvature of the rear mirror: 0.1 m convex (cvx)
- 11 Radius of curvature of the Nd:YAG rod: 0.22 m convex (cvx)
- 12 Length of Nd:YAG rod: 90 mm
- 13 The measured values illustrated in FIG. 5 relate to the aforedescribed resonator.

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FIG. 4 shows a simulated comparison between a "classic" resonator (upper curve) and the novel "sweet spot" resonator according to FIG. 2b (lower curve). As seen in FIG. 4, the "sweet spot" resonator not only has an approximately constant focus diameter, whereas the focus diameter of the "classic" resonator increases with increasing pump power and therefore also with increasing thermal lensing effect; moreover, the focus diameter is significantly smaller across the entire range. The calculated results are supported by the measurements shown in FIG. 5 (1 "state-of-the-art" rod, 4 different rods of the "sweet spot" resonator according to the invention). The measurements were

1	taken with a CCD camera; other data are: focusing lens with f = 116 mm,
2	Pmax= 1.5 kW and a distance from the focusing lens to the end of the
3	rod = 450 mm.
4	
5	Similar results can be all obtained with slightly altered parameters (radius
6	of curvature & resonator length).
7	
8	The relevant feature is that at the exemplary pump powers the resonator
9	length can be reduced to a value significantly less than 500 mm by using two
10	convex radii (rear mirror & rod).
11	
12	Using a commercially available computer program for resonators and
13	based on the observations by the applicant, that the welding result are unaffected
14	by thermal lensing effects over a large range of pump power in particular with an
15	extremely asymmetric resonator, additional resonator configurations can be
16	determined as follows.
17	
18	The beam quality M2 is computed with the computer program for
19	resonators and the function of the pump energy and is printed as a curve
20	(FIG. 6). (M2 is used instead of M²)
21	
22	1. The program is initiated with an extremely asymmetric planar-planar
23	resonator configuration, i.e., the laser rod is not curved (corresponds to an

internal lens with a focal length f = infinite, and the radii of curvature of both the rear mirror and the output mirror are also R = infinite; with these boundary conditions, the beam quality M2 is calculated as a function of pump energy for different values of the resonator length L and a specific value of L (Lo) is determined, where the beam quality has the desired maximum value (in the present example, the maximum value is set to be M2=25, which is a typical value for laser welding applications). The position of the maximum does not change when L is varied. The curve, however, bulges upwardly (for values of L less than Lo) or collapsed downwardly (for values of L greater than Lo).

2. If the maximum of the beam quality M2 is not located in the range of pump powers where the system is to be operated according to the application-specific conditions, as in the aforedescribed asymmetric planar-planar resonator configuration, then the radius of curvature of the end of the laser rod which faces the rear mirror, decreases from planar, i.e., R(rod)= infinite, and becomes finite. The lens formed by the curved surface can also be employed to characterize the resonator instead of the radius of curvature of the end of the laser rod. The focal length of the curved end of the laser rod therefore varies from planar, i.e. f = infinite and decreases to finite values. Accordingly, different f-values are entered into the computer program, wherein the maximum shifts to the left to smaller pump powers with decreasing f-values. The value fo, for which the maximum of the curve is located in the center of the desired pump power range, is determined by trial

and error. In the present example, the center of the desired pump power range is approximately 1 kW, ranging from 0 to 2 kW.

3. If a shorter resonator is to be used with the same value of M2 = 25 to attain a more compact system (as is usually the case), then the beam quality M2 is again calculated, as under item 1 above, for a planar-planar resonator, i.e., the laser rod is not curved (corresponds to an internal lens with a focal length of f= infinite) and the radii of curvature of the rear mirror and of the output mirror are infinite. Since L is now smaller than Lo, the maximum is now greater than M2 = 25 (see item 1 above, last sentence). The radius R of the rear mirror is now reduced until the maximum is again at 25.

4. The focal length of the curved end of the laser rod is then reduced according to item 2, until the maximum falls again in the center of the desired pump power range, in the present example approximately 1 kW, ranging from 0 to 2 kW. The result is a novel resonator with the desired characteristics.

With a similar process, additional resonator arrangements can be determined for M2 = 25 by adjusting R for the desired L so that the maximum is = 25 and by shifting the location of the maximum by varying f. Accordingly, the respective values of R and f can be determined for other values of M2 and the desired resonator lengths L.

In the following, several values are listed for a "sweet spot" resonator according to the invention, wherein the rod has a length of 85 mm. Other rod lengths give slightly different results.

L	f	R
Resonator length (mm)	Focal length of the	Radius of the rear mirror
	internal lens (mm)	(mm)
650	1800	- ∞
320	325	-170
290	270	-100
265	230	-85
215	155	-40

The values in the table can be described empirically by the following formulas:

9 R = const x  $(L/Lo-L)^2$ 

 $f = f_0 x (L/Lo)^{2.4}$ 

In a second embodiment shown in FIG. 2c, the "sweet spot" resonator II of the invention is constructed so that the laser rod 1 is planar on the side facing the convex rear mirror 12, and is convex and semi-reflecting on the opposite side which is the output side. This embodiment has the same advantages as the "sweet spot" resonator I illustrated in FIG. 2b. With a corresponding design of the resonator length and the respective radii of curvature, this special arrangement of the components also provides a resonator which has a short overall length and produces a small focus which is substantially independent of the frequency and

the pump power.

In the following, several value are listed for a "sweet spot" resonator II according to the invention (rod length = 85 mm).

L	А	R
Resonator length (mm)	Radius of the output	Radius of the rear mirror
	mirror or rod end (mm)	(mm)
650	2000	- ∞
320	400	-240
290	350	-175
265	300	-140
215	215	-70

The table was created in the same manner as for "sweet spot" resonator I.

The beam quality M2 is calculated using a commercially available computer program for resonators as a function of the pump energy and printed as a curve.

1	1.	The length Lo is determined for a planar-planar resonator configuration with
2		A = infinite, so that the maximum value of M2 assumes a predetermined value
3		(in this case $M2 = 25$ ).
4		
5	2.	The value for $A_{\scriptscriptstyle 0}$ is then determined in such a way that the maximum value of
6		the curve is located in the center of the desired pump power range (in this
7		case at 1 kW, range 0-2 kW).
8		
9		This completes the first line.
10		If shorter resonators with the same properties are desired, then the following
11	pr	ocess applies:
12		
13	3.	The M2 curve is calculated for a shorter length L < Lo, and a curve with a
14		maximum value of greater than 25 is obtained. The value for R is then
15		lowered until the maximum is again located at 25.
16		
17	4.	The value for A is then lowered until the maximum is again located at the
18		center of the desired range.
19		
20		This process produces a new resonator with the desired features. In this
21	W	ay, respective values of R and A can be determined for each specified value of
22	M	2 and L.

- These values can also be determined empirically by the following 1
- formulae: 2

- $R = C \times (L/Lo-L)^{1.9}$ C = -2544
- $A = A_0 \times (L/Lo)^{2.1}$ 5

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It should be noted that the pulse energy is also almost independent of the 7 history of the pump power. Accordingly, both the focus diameter and the energy 8 density remain constant, which is of paramount interest for the user. 9

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The preferred field of application of this invention are Nd:YAG welding 12 lasers with a resonator length of less than 500 mm and a maximum average 13 pump power of up to 2 kW (this corresponds to approximately 60 W average 14 laser power).

convex rear mirror

## LIST OF REFERENCE NUMERALS

1	Nd:YAG laser rod
2	output mirror
3	concave rear mirror
4	laser beam
5	beam expansion with divergence adjustment
6	turning mirror
7	focusing lens
8	focusing plane of the observation (work plane)
9	observation direction
10	semi-reflecting layer
11	convex end of the rod

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# RESONATOR ARRANGEMENT FOR SOLID-STATE LASERS CLAIMS

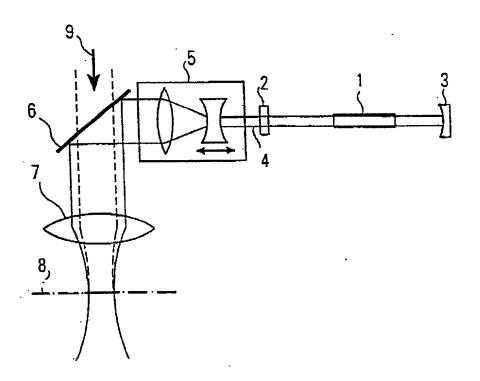
- 1. Stable resonator for solid-state lasers which exhibit a thermally induced lensing effect, with a laser rod, a rear mirror and a semi-reflecting output mirror, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is also convex, and that the output mirror is formed by the other end of the laser rod, wherein this end is semi-reflecting.
- The resonator according to claim 1, characterized in that the semi-reflecting
   end of the laser rod is formed planar.
  - 3. The resonator according to the preamble of claim 1, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is also convex, and that the output mirror is arranged in close proximity to the end of the laser rod, preferably at a distance of less than approximately 10 mm.
- 4. Stable resonator for solid-state lasers which exhibit a thermally induced lensing effect, with a laser rod, a rear mirror and a semi-reflecting output mirror, characterized in that the rear mirror is convex, that the end of the laser rod facing the rear mirror is planar, that the other end of the laser rod is convex, and that the output mirror is formed by the other end of the laser rod, wherein this end is semi-reflecting.

- 5. The resonator according to the preamble of claim 4, characterized in that the
   rear mirror is convex, that the end of the laser rod facing the rear mirror is
- planar, that the other end of the laser rod is convex, and that the output mirror
- 4 is arranged in close proximity to the end of the laser rod, preferably at a
- 5 distance of less than approximately 10 mm.
- 1 6. Resonator according to one of the claims 1 to 5, characterized in that the
- 2 laser rod is a Nd:YAG, Er:YAG, Ho:YAG, Nd:glass rod.

### **ABSTRACT**

A stable resonator for solid-state lasers which exhibit a thermally induced lensing effect, includes a laser rod, a rear mirror and a semi-reflecting output mirror. The invention is characterized in that the rear mirror has an extremely asymmetrical configuration, allowing the laser rod to move totally or almost totally toward the side of the output mirror. The laser rod is curved in a convex manner on one end in order to achieve a refractive effect, and a convex rear mirror is provided. As a result of the extreme asymmetry, the resonator has a beam quality as a function of the pump power with a comparably flat maximum even at relatively short resonator lengths in contrast to the state of the art. The effects of the thermal lens have practically no influence on processing results. Starting pulse behavior lies below the detection limit.

FIG.1



## FIG.2a

Prior Art

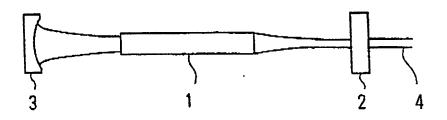


FIG.2b

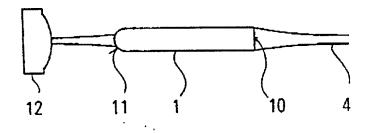
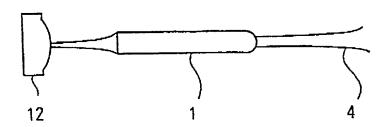
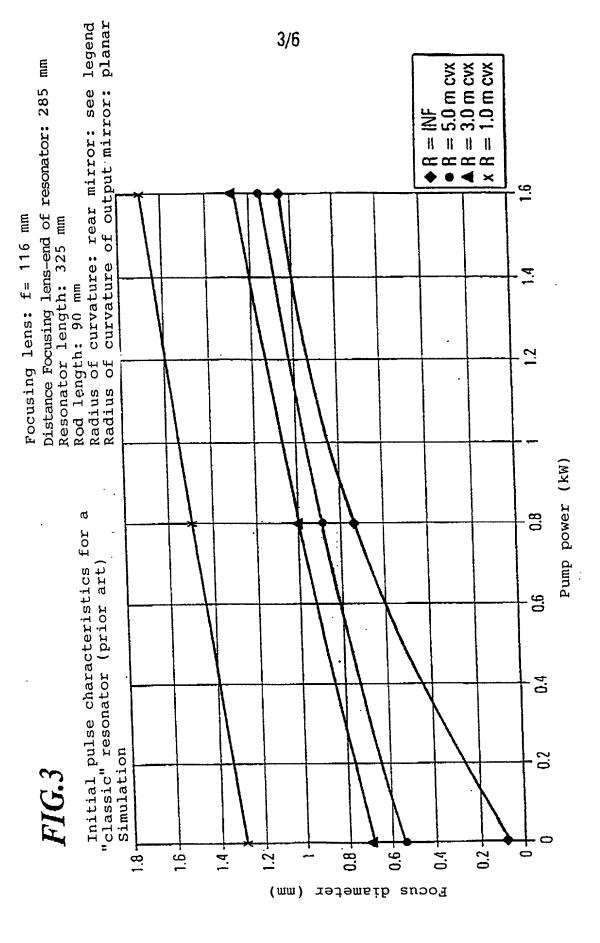
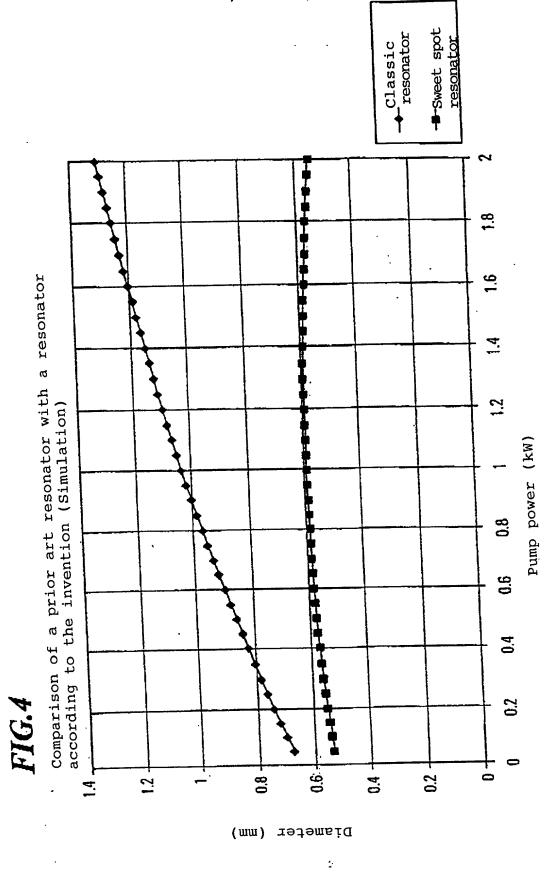


FIG.2c

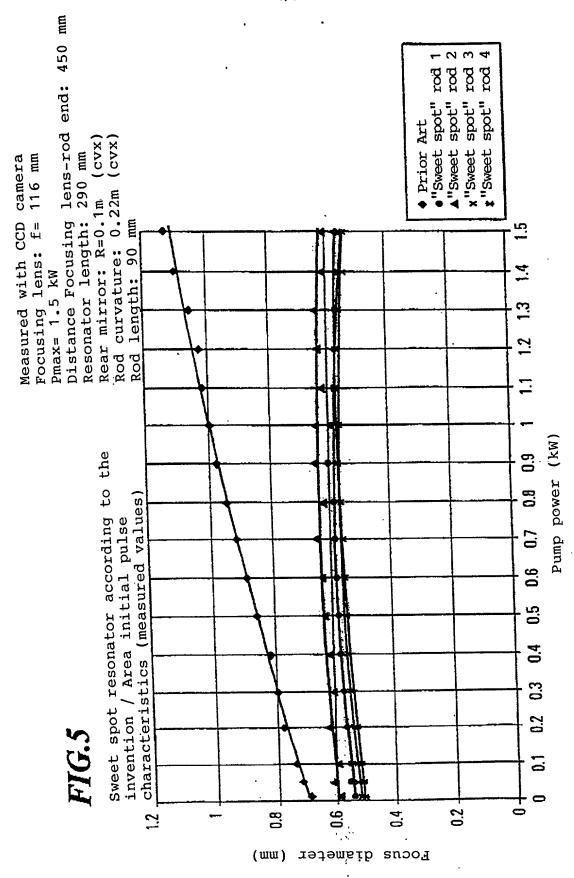


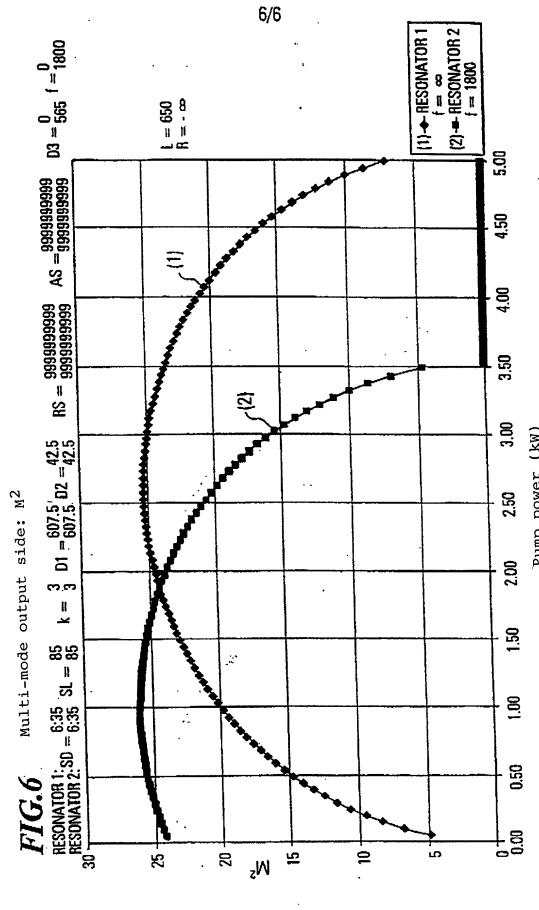












Pump power (kW) Resonator with lens between rear mirror and rod (D3=0 and f=0 represents lens) calculation without

09/445990 5:14 Rec'd PCT/PTO 176 DEC 1993

## **VERIFICATION OF A TRANSLATION**

I, HENRY M. FEIEREISEN, resident of the United States, having a place of business at 350 Fifth Avenue, Suite 3220, New York, N. Y. 10118, depose and state that:

- 1. I am familiar with the English and German languages.
- 2. I have read the attached German language international patent application PCT/EP/02724.
- 3. The hereto attached English language text is an accurate translation thereof.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Henry M. Feiereiseň

Date:

ij.

L.

: 13

## Declaration and Power of Attorney for Patent Application Erklärung für Patentanmeldungen mit Vollmacht German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

daß ich, nach bestem Wissen, der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

## RESONATORANORDNUNG FÜR FESTKÖRPERLASER

deren Beschreibung (zutreffendes ankreuzen)

hier beigefügt ist.
 wirde angemeldet am 22. April 1999

unter der U.S.-Anmeldungs Nr. oder unter der Internationalen Anmeldenummer im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT)

PCT/EP99/02724 und am

abgeändert (fall

zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell duch einen Zusatzantrag, wie oben erwähnt, abgeändert wurde.

ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen an, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Titel 37, Code of Federal Regulations, §1.56 von Belang sind.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Titel 35, US-Code, §119(a)-(d), bzw. §365(b) aller unten angegebenen Auslandsanmeldungen für ein Patent oder Erfinderurkunden, oder §365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen samtliche Auslandsanmeldungen für Patente oder Erfinderurkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung; für welche Priorität beansprucht wird, vorangeht.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

#### **RESONATOR ARRAY FOR SOLID-STATE LASERS**

the specification of which (check one)

[] is attached hereto

[X] was filed on 22 April 1999
as United States Application Number or PCT
International Application Number
PCT/EP99/02724 ,and was amended on

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35. United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

1 of 3

16-DEZ-1999 10:17 VON KM PATENTABTLG.ZR3 AN Priority Claimed? Prior Foreign Applications (Frühere ausländische Anmeldungen) Priorität beansprucht? 198 17 848.4 22/April/1998 [X]Germany. [] (Day/Month/Year Filed) No (Number) (Country) Yes (Land) (Tag/Monat/Jahr eingereicht) βĻ Nein (Nummer) 198 25 827.5 Germany 10/June/1998 [X][ ] (Country) (Day/Month/Year Filed) Yes No (Number) (Land) (Tag/Monat/Jahr eingereicht) Ja Nein (Nummer) Ich beanspruche hiermit gemäss Titel 35, US-Code, I hereby claim the benefit under Title 35, United States §119(e), den Vorzug aller unten aufgeführten US-Code, §119(e) of any United States provisional application(s) below Hilfsanmeldungen (Filing Date / Anmeldedatum) (Application No. / Anmeldenr.) (Filing Date / Anmeldedatum) (Application No. / Anmeldenr.)

Ich beanspruche hiermit gemäss Titel 35, US-Code, \$120, den Vorzug aufgeführten aller unten US-Patentanmeldungen bzw. §365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkenne, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung, bzw. PCT internationalen Anmeldung in einer gemäß dem ersten Absatz von Titel 35, US-Code §112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung ieglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Titel 37, Code of Federal Regulations, §1.56 von Belang sind und im Zeitraum zwischen dem Anmeldedatum der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) gültigen internationalen Anmeldedatum bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Status) (Filing Date) (Status) (Appl. No.) (Anmeldedatum) (patentiert, anhängig (patented, pending (Anmeldenr.) aufgegeben) abandoned) (Filing Date) (Status) (Status) (Appl. No.) (Anmeldedatum) (patentiert, anhängig (patented, pending (Anmeldenr.) aufgegeben) abandoned)

ich erkläre hiermit, daß alte von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und daß ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, daß wissentlich und vorsätzlich falsche Angeben gemäss §. 1001, Titel 18 US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können, und daß derartig wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwalte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem US-Patent-und Warenzeichenamt:

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

AN

#### HENRY M. FEIEREISEN Reg. No. 31,084

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,	PATEN 006111 HARK OFFICE	New Tork, N.T. 1011	<u> </u>	
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Unterschrift	des Erfinders	Datum	Inventor's Signa	ture Date
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Wohnsitz		München / Germany	DEX	Residence
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